

City of Saint Paul, Minnesota

2015 CONSOLIDATED ANNUAL

PERFORMANCE AND EVALUATION REPORT:

June 1, 2015 - May 31, 2016

This annual performance and evaluation report has been prepared in accordance with the Department of Housing and Urban Development (HUD) Guidelines. Programs included are:

Community Development Block Grant (CDBG) Program

HOME Investment Partnership (HOME) Program

Emergency Shelter Grant (ESG) Program

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan.

91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

The City utilizes the Community Development Block Grant Program (CDBG), the HOME Investment Partnership Program (HOME), and the Emergency Solutions Grant Program (ESG) primarily for housing related activities, including the rehabilitation and development of single family and multi-unit housing, homeownership assistance and homeless assistance. To complement the housing effort, CDBG funds are also used for public service activities, public improvement projects, and activities which promote economic development.

CDBG funds were again allocated this program year to the City's Inspiring Communities program. The Inspiring Communities Program is a scattered site housing redevelopment strategy that focuses investment in neighborhoods most impacted by vacancy and foreclosure. Program activity began in 2008 with the receipt of federal Neighborhood Stabilization Program grant funds. Ongoing activity has been funded through multiple federal, state and local sources, and has preserved or produced affordable units for over 230 households since inception, 6 CDBG assisted units during the 2015 program year. As a neighborhood redevelopment program, Inspiring Communities also creates construction job opportunities for local residents, Section 3 certified businesses and individuals, and minority- and female-owned and small businesses.

The City of Saint Paul partners with many neighborhood non-profit organizations to achieve the Housing and Economic Development goals stated in our consolidated plan. The City of Saint Paul worked with 5 Neighborhood Non-Profits during the program year to assist low-moderate income homeowners with housing rehabilitation. The City also offered a direct home improvement loan program to assist low-moderate income homeowners. The City worked with 3 neighborhood CDCs to assist businesses with economic development activities in low-moderate income areas and/or serve low-moderate income residents.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
Community Engagement	Non-Housing Community Development Crime prevention	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	0	0		121000	155691	128.67%
Community Engagement	Non-Housing Community Development Crime prevention	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	121839	155691	127.78%			
Development of New Housing	Affordable Housing	CDBG: \$ / HOME: \$	Rental units constructed	Household Housing Unit	855	0	0.00%	15	0	0.00%
Development of New Housing	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	95	0	0.00%	2	5	250.00%
Economic Development	Non-Housing Community Development	CDBG: \$	Facade treatment/business building rehabilitation	Business	15	3	20.00%	2	3	150.00%

Economic Development	Non-Housing Community Development	CDBG: \$	Jobs created/retained	Jobs	0	0		2	0	0.00%
Economic Development	Non-Housing Community Development	CDBG: \$	Businesses assisted	Businesses Assisted	15	2	13.33%	2	2	100.00%
Homeless Prevention	Homeless	ESG: \$	Homelessness Prevention	Persons Assisted	15000	0	0.00%	3000	0	0.00%
Housing Rehabilitation	Affordable Housing	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	300	527	175.67%	38	527	1,386.84%
Housing Rehabilitation	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	450	88	19.56%	53	85	160.38%
Housing Rehabilitation	Affordable Housing	CDBG: \$ / HOME: \$	Housing for Homeless added	Household Housing Unit	0	0		0	0	
Housing Rehabilitation	Affordable Housing	CDBG: \$ / HOME: \$	Housing for People with HIV/AIDS added	Household Housing Unit	0	0		0	0	

Public Improve ments	Public facilities	CDB G: \$	Other	Other	3	1	33.33 %			
Public Services	Non- Housing Communi ty Develop ment	CDB G: \$	Public Facility or Infrastructur e Activities other than Low/Modera te Income Housing Benefit	Person s Assiste d	0	0		2000	1365 1	682.55 %
Public Services	Non- Housing Communi ty Develop ment	CDB G: \$	Public service activities other than Low/Modera te Income Housing Benefit	Person s Assiste d	2500 0	4549	18.20 %			
Remediat ion of Substand ard Propertie s	Non- Housing Communi ty Develop ment	CDB G: \$	Buildings Demolished	Buildin gs	100	20	20.00 %	16	20	125.00 %
Youth Employment	Non- Housing Communi ty Develop ment Employ ment	CDB G: \$	Public Facility or Infrastructur e Activities other than Low/Modera te Income Housing Benefit	Person s Assiste d	0	0		400	373	93.25 %

Youth Employment	Non-Housing Community Development Employment	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	2000	373	18.65 %			
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Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

Construction and preservation of affordable housing are two of the highest priorities of the City of Saint Paul. As shown in the table above, the City of Saint Paul met or exceeded most of the 2015 Action Plan Goals and is on track to reach its strategic plan goals for the 2015-19 Consolidated Plan term. The City provided CDBG financing to rehabilitate a 504 unit affordable rental project during the 2015 program year. The completion of this project allowed the City to well exceed its affordable rental unit rehabilitation goal. The City and its neighborhood partners provided loans to make necessary health and safety improvements to 81 single family low-moderate income owner-occupied homes. The City and its partners added 5 units of new housing and rehabilitated 3 units of previously vacant houses for low-moderate income homeowners.

Another priority in the City's 2015-19 Consolidated Plan is Economic Development. 5 businesses serving low-moderate income residents were assisted this program year. 4 additional projects are underway.

The City also utilized CDBG funding during the 2015 program year to assist a number of agencies which provide public service activities. Initiatives included crime awareness, employment training, senior services, and youth services. These programs either provide services to areas of the City which are comprised of at least 51% low or moderate income households, or provide direct services to low/moderate income persons. The following 6 public service activities were assisted during the program year: Community Engagement, Crime Prevention, Housing Information Services, Living at Home Block Nurse Program, Neighborhood Non-Profits, and Youth Employment.

As part of its application and funding approval process, HUD requires the City to certify that at least 70% of CDBG project funds expended will principally benefit low and moderate income persons. The City may select a one, two, or three year period for this certification, and Saint Paul has traditionally selected the three year time frame. During the 2015 program year, Saint Paul expended 95% of its CDBG project funding on activities which principally benefit low and moderate income persons. The remaining funds

were expended on activities which eliminated slum or blighting conditions. This is the second year of the City's three year certification period of 2014 through 2016.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	20,290	4	0
Black or African American	19,722	12	0
Asian	3,987	0	0
American Indian or American Native	1,154	11	0
Native Hawaiian or Other Pacific Islander	7	0	0
Total	45,160	27	0
Hispanic	4,383	0	0
Not Hispanic	43,111	24	0

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The City of Saint Paul assisted 593 households with CDBG funded housing activities this program year. Of those, 56 were White, 500 Black, 30 Asian, 4 American Indian and 3 were mixed race households. 11 households were Hispanic and 339 were female headed households. 46,103 persons were assisted with CDBG funded non-housing activities of which 4,323 were Hispanic, 19,829 White, 19,077 Black, 3809 Asian, 1,152 Native American/Alaskan, 7 Native Hawaiian/Pacific Islander and 2,229 were mixed race persons.

The City of Saint Paul assisted 27 households with HOME funded housing activities this program year. Of those, 4 households were white, 12 were black and 11 were Native American.

The ESG numbers will be updated once all subgrantees have reported.

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG		25,650,000	7,401,798
HOME		5,600,000	3,326,595
ESG		2,320,000	

Table 3 - Resources Made Available

Narrative

The City of Saint Paul had \$6,384,098 in CDBG entitlement funds and \$365,902 in program income funds available to fund activities in the 2015 program year. The City expended additional CDBG funds that were allocated during prior program years.

The City of Saint Paul had \$1,427,984 in HOME entitlement funds and \$26,690 in program funds available to fund activities in the 2015 program year. The City expended additional HOME funds that were allocated during the prior program years.

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description

Table 4 – Identify the geographic distribution and location of investments

Narrative

All of the CDBG and HOME funds were available to use city-wide. Housing programs administered by the City were available city-wide, to income eligible residents. The City also provides funding to subgrantees, whose programs are available to income eligible residents residing in the subgrantee service area. These areas include Districts 1-8, 11 & 17. Most of the housing activities funded were located in the Inspiring Communities initiative priority area. A map which shows the areas of the City with at least 51% low/moderate income persons is titled as CDBG eligible block groups and tracts and included as Attachment A to this report. All CDBG funded activities providing an area benefit had a service area that was located primarily in a shaded portion of the attached map.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

During the 2015 program year, the City used HOME funds to complete 1 new construction rental development containing 2 new HOME rental units and 1 rehabilitated development containing 17 HOME rental units. The total leverage of the 2 developments came to \$7,243,798.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	11,958,716
2. Match contributed during current Federal fiscal year	20,362,607
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	32,321,323
4. Match liability for current Federal fiscal year	4,040,166
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	28,281,157

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
1885	04/26/2016	13,118,809	0	0	0	0	0	13,118,809
1912	04/26/2016	362,785	0	0	0	0	0	362,785
1914	04/26/2016	6,881,013	0	0	0	0	0	6,881,013

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
44,748	26,690	60,697	0	10,741

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period						
	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	7,880,895	582,785	0	0	0	7,298,110
Number	2	1	0	0	0	1
Sub-Contracts						
Number	49	2	0	1	0	46
Dollar Amount	6,085,723	8,569	0	82,640	0	5,994,514
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	7,880,895	7,880,895	0			
Number	2	2	0			
Sub-Contracts						
Number	49	10	39			
Dollar Amount	6,085,723	1,287,970	4,797,753			

Table 8 – Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted						
	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	1	1	0	0	0	0
Dollar Amount	200,000	200,000	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition						
Parcels Acquired		0		0		
Businesses Displaced		0		0		
Nonprofit Organizations Displaced		0		0		
Households Temporarily Relocated, not Displaced		0		0		
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	30	27
Number of Special-Needs households to be provided affordable housing units	0	0
Total	30	27

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	0	2
Number of households supported through Rehab of Existing Units	30	25
Number of households supported through Acquisition of Existing Units	0	0
Total	30	27

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

The City's one year goal of 30 assisted units fell short by 10%. The major obstacle in meeting the goals continues to be the lack of sufficient funds. With rising construction costs, both production of new housing and rehabilitation of existing affordable units requires a substantial subsidy. The City must partner with other local government agencies and various foundations to sufficiently finance affordable housing development projects each year.

Discuss how these outcomes will impact future annual action plans.

The City will continue to budget 50% of its CDBG and all of its HOME allocation toward the rehabilitation of existing and creation of new affordable units.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	409	21
Low-income	116	3
Moderate-income	41	0
Total	566	24

Table 13 – Number of Persons Served

Narrative Information

612 housing units were rehabilitated and 5 new units were constructed this reporting period. 430 units are occupied by households at or below 30% AMI, 119 are occupied by households between 30% and 50% AMI, 41 are occupied between 50% and 80% AMI. 27 units are still vacant but will be occupied by households at or below 80% AMI and have been included in the table under the Moderate Income totals.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)

Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

In the City of Saint Paul, outreach services to homeless persons (especially unsheltered persons) with assessment of their needs are provided, but not limited to the following services:

People Inc. – Metropolitan Homeless Street Outreach Program (MHSOP) has a ESG grant to partially fund street outreach to unsheltered Ramsey County homeless adults who experience mental illness. MHSOP directly works with homeless residents living outside or in the camps. MHSOP services include street outreach, basic survival gear, benefit assistance, assistance in stabilizing mental health symptoms. Most important, the MHSOP Outreach Specialist must work to build trust with the unsheltered homeless individual in order to address housing needs, including enrolling in the Ramsey County Group Residential Housing Program (that provides stable housing with services appropriate to the individual).

South Metro Human Services – ACCESS Program provides outreach and case management services to homeless adults with serious and persistent mental illness and substance abuse disorders in Ramsey County. The ACCESS staff work at homeless shelters, including the Dorothy Day Center and drop-in centers in Ramsey County each week. South Metro Human Services will use a small ESG grant for its Police-Homeless Outreach Program.

Coordinated Access to Housing and Shelter (CAHS) conducts intake assessments to determine the most appropriate referral for homeless families seeking emergency shelter.

Street Outreach to Homeless Veterans. The City's ESG funds street outreach to Veterans as part of Minnesota Assistance Council for Veterans (MAC-V) Supportive Services for Veteran Families Program (funded by US Department of Veterans Affairs and the City's ESG program). Street outreach services to homeless veterans occur in emergency shelters and where homeless Veterans may congregate.

Community Resource Outreach Project offers weekly outreach to homeless residents who use the Downtown Saint Paul Library during the day. Homeless residents access community referrals for community resources in the stable quiet environment of the Saint Paul Central Library.

Streetworks – Collaborative of community agencies provide street outreach services to homeless youth. During HUD FY 15, SafeZone moved its homeless youth drop-in center into a new facility in downtown Saint Paul.

Adequate funding for street outreach always remains to be a concern. In response, during HUD FY15, the City's ESG funded street outreach to veterans (People Inc. services under MACV program) and street outreach to unsheltered homeless residents.

Addressing the emergency shelter and transitional housing needs of homeless persons

During HUD FY 2015, Saint Paul addressed the emergency shelter transitional housing needs of homeless persons with the following programs or services.

Emergency Solutions Grant (ESG). The City allocated ESG funds to partially pay emergency shelters and transitional housing operational costs, street outreach, homelessness prevention, and rapid rehousing programs - all assist the needs of homeless persons. The City's ESG staff also consults with the Ramsey County Continuum of Care Coordinator before seeking final approval by the City Council

Emergency Shelter/Transitional Housing. The City's ESG funds partially pays emergency shelter operational costs (Dorothy Day Center-emergency shelter for adults, Family Service Center- emergency family shelter, Lutheran Social Services Safe House-emergency youth shelter, Salvation Army Booth Brown House -emergency homeless youth shelter), Interfaith Action (emergency family shelter), Listening House (day-drop in center-homeless adults) and The Family Place (day-drop-in center-homeless families). The City's ESG partially pays transitional housing operational costs (Theresa Living Center and YWCA Saint Paul – both transitional housing for families). As needed, Catholic Charities and Union Gospel Mission increased its number of shelter beds for the cold-weather winter months of 2015-2016.

Rapid Rehousing. The City's ESG funds rapid rehousing services for homeless adults currently staying at the Dorothy Day Center Emergency Shelter and rapid rehousing services provided by SafeZone for homeless youth. During HUD FY 15, the City's ESG responded to rapid rehousing needs for families by funding Theresa Living Center (renewed funding) and YWCA Saint Paul (new funding for rapid rehousing program).

Dorothy Day Center ReVision. During HUD FY 2015, City staff worked with Catholic Charities and Minnesota Housing to develop a new Dorothy Day Center – Higher Ground facility (278 shelter beds, some medical respite, and 193 single room permanent supportive housing units. With a Fall 2016 occupancy, the new Higher Ground facility is major accomplishment to address the needs of homeless residents. At the same time, City staff also worked with Catholic Charities to develop the Dorothy Day Residence (Phase 2) with a new “Connection Center” and 170 housing units (project is contingent upon securing financial support from the State of Minnesota, philanthropic support, and reservations of state and local Low Income Housing Tax Credits).

Funding of Capital Improvements. During HUD FY 2015, City's staff secured additional \$205,000 of

capital improvement funding for the YWCA Transitional Housing (building security equipment), Ain Dah Yung (culturally sensitive youth shelter services-masonry repairs), and Casa Guadalupe (building improvements- emergency shelter/short-term transitional housing program).

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Ramsey County funds community programs for residents who may become homeless after being discharged from publicly-funded institutions and systems of care. Ramsey County Continuum of Care also has working partnerships with nonprofit service providers that assist homeless residents in their transition to permanent housing. Such community assistance could include case management, housing search, child care, job training, transportation, mental health counseling, chemical dependency treatment, and job search. That said, the City's ESG Program also collaborates with Ramsey County Continuum of Care by funding homelessness prevention programs (Southern Minnesota Regional Legal Services –*Project Home*, Neighborhood House – East Side Family Center, Minnesota Assistance Council for Veterans, Hmong American Partnership, and WSCHS Health Care for the Homeless - *HouseCalls*) which offered financial assistance, such as utility payment assistance, rent payments, rental deposits – all necessary to secure and maintain stable housing.

Furthermore, the City of Saint Paul has supportive housing facilities, such as Crestview, Visitation, and Jackson Street Village, Jeremiah Program, and St. Christopher's Place. Moreover, during HUD FY 2015, the City-owned Saint Paul Residence provided 120 supportive housing units (60 units for chronically-inebriated residents and 60 units for formerly homeless residents including some adult individuals who may have been discharged from public-funded institutions). The City staff and Catholic Charities worked to develop the new Dorothy Day Center – Higher Ground facility (include 278 shelter beds – *creating some units reserved for medical respite*, pay for stay beds, and 193 permanent single room supportive housing units.) With HOME and TIF funding, City staff worked with Beacon Interfaith to complete Prior Crossing (44 supportive housing units-unaccompanied homeless youth). Finally, the City's Low Income Housing Tax Credits Program annually finances affordable housing projects for which some projects require additional City financing to create permanent supportive housing units for very-low income residents.

Overall, the City and County fund community services that assist homeless residents, including allocating some of the City's CDBG Funds for the Block Nurse Program and neighborhood non-profit programs that provide assistance to residents-in-need.

In summary, during HUD FY 2015, affordable housing and supportive services were provided within the City of Saint Paul to homeless residents and residents who are not homeless but need supportive

housing, including the elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, persons with chemical dependency, and persons with limited English-speaking proficiency. That said, these diverse communities have often stated that more affordable housing and more affordable supportive housing are needed citywide. In response, the City provides capital funding for affordable housing as well as the City's Housing Policies facilitate the development of affordable housing citywide

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

During HUD FY 2105, the City collaborated with Ramsey County Family Prevention Assistance Program and the Ramsey County Continuum of Care to provide homeless residents with the following services:

Homeless Adults: The City's ESG Program funded the Dorothy Day Rapid Rehousing Program (case management and housing assistance) to assist shelter residents move into permanent supportive housing. The City-owned Saint Paul Residence offered permanent supportive housing for 60 chronically-inebriated residents (using Housing First model) and 60 units for at-risk of being homeless residents.

Homeless Families: During HUD FY 2015, the City financed multi-unit affordable housing, such as Jamestown Homes which will have four permanent supportive housing units. The City's ESG Program also funded organizations that serve homeless families, such as Catholic Charities–Family Service Center, the YWCA Saint Paul (transitional housing and rapid rehousing program), and Theresa Living Center (transitional housing and rapid rehousing). The City's ESG funded Neighborhood House, Southern Minnesota Regional Legal Services – Project Hope, and WSCHS House Calls (utility assistance funds and other services) as homeless prevention initiatives for at-risk homeless families.

Homeless Youth: The City and County recognized that the number of emergency shelter beds for homeless youth remain insufficient. In the recent years, the Otto Bremer Foundation provided grant funding to increase shelter capacity for homeless youth. In 2014, the City Council approved STAR funding for the 180 Degrees project – a 14-bed emergency shelter for homeless youth. During HUD FY 15, the City's ESG funded Salvation Army (emergency shelter), Lutheran Social Services (emergency shelter), Ain Dah Yung (emergency shelter) and Face-to-Face Safe Zone – a youth drop-in center (emergency shelter and a rapid rehousing program) – all programs for unaccompanied homeless youth. Moreover, the City worked with Beacon Interfaith to developed Prior Crossing – an affordable housing project for homeless youth/young adults.

Homeless Veterans: Minnesota Assistance Council for Veterans (MACV) provided supportive housing services, street outreach, and homeless prevention assistance for veterans.

CDBG/HOME/Low Income Housing Tax Credits/STAR funding. Saint Paul's CDBG, HOME, Low Income Housing Tax Credits, and local STAR funding are financial resources for affordable housing including permanent supportive housing. During HUD FY 2015, these financial tools assisted Jamestown Homes (preservation-affordable housing), Prior Crossing (new construction-homeless youth), Hamline Station Family Housing (new construction), 72 Cesar Chavez (new construction). The City also secured capital funds for the YWCA Transitional Housing (building security equipment), Ain Dah Yung (masonry repairs), and Casa Guadalupana (new short-term transitional housing program).

DRAFT

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Saint Paul PHA owns and manages 4262 public housing units and administers 4668 of Housing Choice Vouchers (including 100 vouchers-Family Unification Program, 117 vouchers-DV, 81 vouchers-Section 8 Mod rehab SRO, and 176 vouchers-Veterans Affairs Supportive Housing Program).

During the HUD year, Saint Paul PHA maintained its “High Performer” status under HUD’s Public Housing Assessment (PHAS) and its “High Performer” status under HUD’s Section 8 Management Assessment Program. Saint Paul PHA also administered Project-Based Vouchers (PBV) agreements, including supportive housing PBV(s) that supports State of Minnesota’s Business Plan to End Long-Term Homelessness.

Saint Paul PHA will continue to advocate for full funding and program reform while preparing contingency funding plans if necessary.

Public Housing: In 2015, Saint Paul PHA completed its Dunedin Terrace Family Homes Phase III Modernization (20 dwelling units) and will complete the PHASE IV Modernization (16 dwelling units) in 2016. At the same time, Saint Paul PHA expanded its public housing by starting construction on a new twelve-plex at McDonough Homes (using HUD Capital Fund Program and Minnesota Housing Finance Agency funding). This new construction also provided building trade pre-apprenticeship job opportunities to Saint Paul PHA residents who participated in the Step-Up Program. During HUD FY 2015, Saint Paul PHA’s Capital Fund Program continued to renovate its public housing properties by financing capital improvements that promote fire safety and life safety while preserving the assets. Saint Paul PHA maintained high quality “green sustainable” principles to conserve energy and water usage, including executing an agreement with Geronimo Energy to provide solar energy to 10 high-rises.

Section 8 Housing Choice Voucher Program: Saint Paul PHA maintained high utilization of vouchers without exceeding authorized limits. Saint Paul PHA also administered the Project-Based Vouchers (PBV) agreements, including PBVs for supportive housing developments that support Minnesota’s Plan to End Long-Term Homelessness. Additionally, Saint Paul PHA renewed expiring PBV contracts at successful projects and offered up to 50 Project-Based vouchers through 2016. Nearing the end of its Section 8 waiting list that had been closed since 2007, the Saint Paul PHA’s Section 8 Office opened its waiting list for new applications in 2015. From the pool of 12,321 applications received online from September 9-15, 2015, the PHA’s software vendor conducted the lottery to select 3,500 for the waiting list.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Since 1999, the Saint Paul Public Housing Agency (PHA) Board of Commissioners has established the PHA's Resident Advisory Board (RAB) with membership to be comprised of:

- All members of the Hi-Rise Presidents Council (Presidents -16 Hi-Rise buildings).
- All members of the Family Residents' City-Wide Residents Council (16 members, including the four officers from each of the four family housing developments).
- Section 8 representatives who volunteered for the RAB in response to mailings and flyers in the Rental Office.
- Two PHA Commissioners are residents of public housing: one Commissioner represents the elderly hi-rise residents and one Commissioner represents family residents.

Resident Advisory Board membership fluctuates due to changes in Resident Council officers, residents moving out of public housing or leaving the Section 8 program, etc. Still, some public housing resident-leaders who are not currently members of the Presidents Council or City-Wide Resident Council actively participated in the RAB meetings. RAB meeting agendas were sent to all RAB members and Southern Minnesota Regional Legal Services, Inc. (SMRLS).

During the year, Saint Paul PHA Senior Management would meet with Resident Advisory Board (including the Hi-Rise President Council and the Family Residents City-Wide Resident Council) to discuss PHA policy changes, PHA Annual Plan, and PHA Capital Improvements. As examples, Saint Paul PHA actively encouraged discussions about planning capital improvements and PHA policies, such as expanding the Project Based Section 8 Housing Choice Voucher (PBV) program for which PHA received RAB support for renewing contracts and offering a limited number of additional PBVs. Additionally, Saint Paul PHA Resident Initiative Department and the Resident Councils worked together to form new partnerships with community service agencies.

During July, Saint Paul PHA starts updating its Agency Plan for the fiscal year which begins the following April 1st. Saint Paul PHA staff reviews the plan with the Resident Advisory Board (RAB) and representatives from tenant advocacy organizations like SMRLS (Southern Minnesota Regional Legal Services, Inc.). The draft is then released for public review and comment around October 1st and a public hearing on the Plan is held in mid-November.

To promote economic opportunities, Saint Paul PHA participated, to the greatest extent possible, with the Section 3 program – by hiring qualified PHA residents and qualified Section 3 businesses. In 2015, PHA selected a general contractor to construct a new twelve-plex at McDonough Homes, and this contractor offered building-trades pre-apprenticeship opportunities to Saint Paul PHA residents who participate in the Step-Up program.

Due to financial considerations, Saint Paul PHA had no current plans to implement a Voucher Homeownership Program - a successful homeownership program which Saint Paul PHA operated (not using Section 8 vouchers) in conjunction with the Family Housing Fund and Thompson Associates. Since

2012, 291 families from public housing and Section 8 became homeowners.

Actions taken to provide assistance to troubled PHAs

Not applicable. In 2015, Saint Paul PHA receive HUD's "High Performer" rating for the 25th consecutive year under HUD's "Public Housing Management Assessment Program" as well as Saint Paul PHA has a HUD "High Performer" status under HUD's Section 8 Management Assessment Program.

Discussion

As previously stated, Saint Paul PHA has achieved High Performer status for both its Public Housing and Section 8 Housing Choice Voucher Program. However, since Saint Paul PHA has a continual need for stable federal funding to maintain its high standards, Saint Paul PHA will continue to advocate for full funding and program reform while preparing contingency plans as necessary to receive federal funding. At this time, Saint Paul PHA has no current plans for large-scale conversions of public housing to Section 8 or other forms of assisted housing. If Congress and HUD continue to under fund the Public Housing Operating Fund and Capital Fund, the PHA may consider selling additional scattered site homes and/or converting other public housing units to Section 8 tenant-based or project-based assistance. Staff has previously discussed this option with the Resident Advisory Board which expressed general support. Before taking such a course of action, the Saint Paul PHA would reconvene the Resident Advisory Board to discuss any proposed changes.

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

The City's has approximately 12,228 publicly-assisted affordable housing units and 4,589 Section 8 vouchers. (approximately 36% of City renters receive some housing assistance). Yet, the biggest barriers to affordable housing are: 1) many households lack sufficient incomes to rent modestly-priced apartments and 2) lack of affordable housing opportunities for households with incomes at 0-50% AMI. In response, the City's Affordable Housing Policy requires that city-financed rental projects have 10% of units affordable at 30% AMI, 10% affordable at 50% AMI; and 10% affordable at 60% AMI. Furthermore, the City's Locational Choice Policy and Low Income Housing Tax Credit Selection Process encourage a more equitable distribution of affordable housing citywide. During HUD FY 2015, the City financed the preservation, renovation, or new construction of 617 affordable housing rental units – using CDBG or HOME with federal, state and city funding.

Lack of Sufficient Funding for Publicly-Assisted Housing. The lack of sufficient federal funding and low income housing tax credits – as public policies – are the biggest barriers for developing affordable housing. In general, the City continually receives less CDBG funding. In 1975, City received \$18,35,000 CDBG funding; for HUD FY 2015, City's CDBG funding was \$6,384,098. Similarly, the City received HOME funds of \$2,316,000 in 2000; the City received HOME funds of \$1,427,984 in 2016. For HUD FY 2015, Saint Paul strongly advocated for its existing allocation of Low Income Housing Tax Credits, However, in 2017, the City will receive less Credits for affordable housing.

Zoning Code/Land Use Controls/Growth Limitations/Financial incentives/City Loan

Modifications/Waivers: The City's Zoning Code supports housing density on high-use transportation corridors and supportive housing. During HUD FY 2015, St. Paul HRA sold vacant single family houses lots and HRA land to develop 72 Cesar Chavez (40 affordable rental units). For homeownership, Saint Paul's Inspiring Communities provides gap financing and homeownership assistance (\$5000 for homebuyers under 80% AMI, and \$2,500 for incomes over 80%). St. Paul HRA also modified existing HRA loans/HRA developer agreements to retain or create affordable housing (Selby Grotto-40 affordable units; Cathedral Hill-60 PBA Section 8; University/Dale-98 affordable units; and Village on Ravoli (35 new homeownership units). As appropriate, St Paul HRA waived its Maximum Developer Fee Policy and sustainable regulations for Lonnie Adkins (77 units - 55 PBA Section 8).

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

Affordable Rental Housing and affordable homeownership remained underserved need. In response,

during HUD FY 15, the City and its subgrantees still addressed many underserved needs through its ongoing, multi-year HUD funded housing programs using CDBG/HOME/state/local funds and Low Income Housing Tax Credits, as appropriate, to administer affordable rental housing programs and home-ownership programs. These financial tools preserved or constructed affordable housing and affordable supportive housing, such as Jamestown Homes (preservation of 73 Project-based Section 8 units), Prior Crossing (new construction-homeless youth), Hamline Station Family Housing (new construction), 72 Cesar Chavez (new construction), Lonnie Adkins-(preservation-family housing), Superior Street Cottage (preservation-senior units), and Skyline Towers – (preservation-504 affordable units). Saint Paul's homeownership programs, including home-improvement deferred loans and Mortgage Foreclosure Prevention Program, stabilized households; City's Inspiring Communities renovated vacant houses into energy-efficient owner-occupied homes, including financial assistance to eligible home buyers; and the City participated with Minnesota Home Ownership Center's Home Ownership Alliance to develop strategies to increase homeownership for households of color.

Housing Services for Underserved Residents. In response, the City allocated ESG funds for operational costs for emergency shelters citywide; the City-owned Saint Paul Residence provided 120 supportive housing units (including 60 units for chronically-inebriated residents; and the City ESG's rapid rehousing assisted homeless adults, homeless youth, and homeless families. During HUD year, the City secured capital funding for the YWCA Transitional Housing, Ain Dah Yung, and Casa Guadalupe (new short-term transitional housing). The City worked with Catholic Charities' new Higher Ground facility (278 shelter beds, some medical respite beds, and 193 permanent SRO housing units). Additionally, City and Catholic Charities were seeking financial support for the Dorothy Day Residence (Phase 2-new "Connection Center" with 170 housing units). With HOME and TIF funding, City staff worked with Beacon Interfaith to complete Prior Crossing (supportive housing-unaccompanied homeless youth), and the City also worked with community agencies such as Hmong American Partnership and the Voice of East African Women to assist new Americans.

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

The problem of lead paint hazards remains a major concern for the City, which has a very large number of older housing units. The HUD lead paint regulations greatly increase the cost and the amount of time needed to complete rehabilitation activities. The City continued to comply with all of the regulations, and provided all clients with the necessary information during the 2015 program year. All of Saint Paul's housing programs include appropriations for addressing lead based paint in housing units, and the City is also working in conjunction with health officials and other agencies to address this issue.

Each of the 595 housing units that were rehabilitated this program year complied with the HUD lead paint regulations and is lead free.

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

During HUD FY 2015, the City provided funding for youth workforce activities and other related public service activities which complemented programs provided by Ramsey County as the primary social services provider in Saint Paul. The workforce activities included outreach, basic skills training, job training, job placement, and follow-up employment services. As an example, the *Right Track* Program (a youth workforce program) brings together the City of Saint Paul, Saint Paul Public Schools, local businesses and community-based organizations to provide youth with employment opportunities and professional skills training. The *Right Track* provides immediate economic benefits to Saint Paul's youth while also developing a skilled diverse workforce for the future.

With the City's Minority Business Development Retention Program, City's Vendor Outreach Program, and the City's HUD Section 3 program, the City's Contract Compliance Business Development also undertakes substantial efforts to provide economic opportunities to businesses and individuals who have historically lacked equitable access to public contracting opportunities.

Moreover, the City's HUD Section 3 Program increases low-income resident employment and low-income business inclusion on City HUD-funded construction projects. In 2015, the City had 47 new projects with Section 3 goals. To further promote these opportunities, the City's Section 3 staff certified 66 new businesses as Section 3 businesses and 445 new residents as Section 3 residents. In 2015, 208 businesses were certified as Section 3 businesses and 775 residents were certified as Section 3 residents. Overall, the City met its 10% Section 3 goal in 2015 as the City's Section 3 contracts secured \$7,577,969 on the City's Section 3 projects.

Opportunities were also available to Section 3 residents through the Goodwill/Easter Seals Construction Skills Training Program which includes construction training, on-site work training, six-weeks paid training on construction sites, employment readiness, and job placement services. As an example, the Saint Paul HRA previously sold two vacant HRA lots to Urban Homeworks where two new affordable owner-occupied single family homes were built by the Goodwill/Easter Seals Construction Training participants. The construction and gap financing was provided by Twin Cities Community Land Bank and the Saint Paul HRA.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Saint Paul continues to enhance coordination between public, private, and non-profit agencies in an effort to eliminate gaps in institutional structure. By working with other government bodies (county,

metro, state), the City is better able to fill gaps in services available to citizens, and also avoid duplication of services. The large number of non-profit agencies directly participating in City programs and projects is proof of the ongoing and ever expanding role of the City's community partners. Despite the inter-agency cooperation, there continues to be a gap in service delivery due to the lack of sufficient funds and unfunded federal mandates.

During HUD FY 15, the City also partnered with Ramsey County Continuum of Care and 18+ ESG subgrantees to implement comprehensive services that assist homeless residents and at-risk of being homeless residents, including serving underserved populations such as homeless veterans and new Americans. Furthermore, in recognition of HUD performance requirements, the City ESG staff and Ramsey County COC staff are working together to develop program performance guidelines.

At the same time, City staff worked with Catholic Charities to build the new Dorothy Day Center – *Higher Ground* facility that will soon provide emergency shelter, pay-for stay housing, and permanent supportive housing (Fall 2016 occupancy). This project required working collaborations among Minnesota Housing Finance Agency, Ramsey County, Catholic Charities, Saint Paul Public Works, Capitol Regions Watershed District, Saint Paul Planning and Economic Development, and philanthropic organizations. After the opening of Catholic Charities' *Higher Ground* facility, Catholic Charities' new medical respite program (at the new Dorothy Day Center) will require coordination among institutional private health care providers, Catholic Charities, and public health care providers such as Health Care for Homeless.

During HUD FY 15, the City's Low Income Housing Tax Credit Program also encouraged equity partnership agreements between private housing providers and non-profit housing organizations – especially those community social service agencies that provide supportive housing services to homeless residents (contingent upon available resources for such social services).

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

During HUD FY 2015, Saint Paul encouraged the coordination between social service agencies and housing providers. However, it should be noted that Ramsey County is the primary funder of social services in Saint Paul, and as a major housing provider, the Saint Paul Public Housing Agency is an independent public agency for which the City has limited jurisdictional oversight.

That said, the City had working relationships with Ramsey County and Saint Paul Public Housing Agency. During HUD FY 2015, the City participated with Ramsey County Continuum of Care Governing

Board which coordinated social services that assisted homeless residents and at risk of being homeless residents. The City's Emergency Solutions Grant ("ESG") Program also funded nineteen community agencies, including the YWCA Saint Paul. In its effort to empower homeless families toward self-sufficiency, the YWCA subsequently may have collaborated with adult rehabilitative mental health services (ARMHS), Saint Catherine University Public Health Nursing students, Ramsey County Workforce Solutions, and Saint Paul Public Schools-Title 1. Additionally, the City's ESG Program funded West Side Community Health Services' ("WSCHS") Health Care for the Homeless –*HouseCalls Program* –which is a collaboration of Saint Paul-Ramsey County Public Health and the WCHS's Health Care for the Homeless that recognized that preventing homelessness had direct impacts on family health and community health. During HUD FY 2015, WSCHS' *HouseCalls* had a working partnership with the City's Department of Safety and Inspections to assist at-risk of being homeless residents living in housing declared unfit for human habitation.

The City's Low Income Housing Tax Credit Program ("LIHTC") also promoted the preservation or new construction of privately-owned affordable housing developments. Moreover, during HUD FY 2015, the City awarded LIHTC Credits to Jamestown Homes - 73 units of affordable housing including four supportive housing units. At the same time, the owner of Jamestown Homes also participated with the Ramsey County Continuum of Care.

During HUD FY 2015, the City also worked with numerous Community Development Corporations ("CDC"), non-profits organizations, and the private sector to provide housing, economic development, and community development services. In partnership with banks, lending institutions, and the Saint Paul Port Authority, the City's Economic Development programs provided gap financing to businesses seeking private financing on commercial and industrial projects. This coordination maximized the amount of non-HUD funds invested in these projects. Finally, the City's HUD Section 3 Program is a collaborative effort among City partners to increase low-income resident employment and low-income business inclusion on City HUD-funded construction projects.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The Grants Management division of the Planning and Economic Development (PED) office of the City of Saint Paul is charged with ensuring compliance with all program requirements. All requests for financial assistance include a review of conformance with the Comprehensive Plan prior to submission for approval by the Housing and Redevelopment Authority of the City of Saint Paul.

The City leads the CERT Collaborative with Hennepin and Ramsey County. Responding to community feedback, the City began hosting monthly workshops in 2015 to help businesses certify. These workshops offer SWMBE and Section 3 businesses the chance to complete a CERT and Section 3 application; register with St. Paul procurement, Ramsey and/or Hennepin County procurement, meet with volunteer business mentors and attorneys, and meet with City licensing officials. CERT also partners with Wells Fargo and the Federal Reserve to host workshops for SWMBE and Section 3 businesses, helping them access capital.

The City trains SWMBE and Section 3 businesses through its MBDR program, contracting with local partners to offer classes and technical assistance. This year, the City brought CERT workshops to MBDR, allowing businesses to receive training, technical assistance, and streamlined certification. The City hosts a procurement fair; all departments present upcoming construction projects to SWMBE and Section 3 businesses. The City uses its certified business lists, as well as community partners, to get the word out. The City also uses its business lists to send many other business alerts.

Finally, the City and its Housing and Redevelopment Authority are participating in a multi-jurisdictional disparity study.

The City monitors compliance through B2GNow, which has a certification portal for CERT. Once a business certifies, their certification carries over to the compliance module, enabling contractors to search for certified subs. The system audits projects monthly to confirm sub payments. The City has Department of Human Rights and Equal Employment Opportunity (HREEO) staff that monitors all compliance. Payment approval goes through HREEO, and HREEO holds draws until contractors are compliant. For Section 3, the City uses the same compliance monitoring program through B2GNow to ensure compliance.

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.

The City of Saint Paul published a legal notice on August 10, 2016 in the Pioneer Press, Saint Paul's dominant newspaper, advertising the availability of the CAPER and providing a 15 day notice of a public hearing. The City also sent notice through the City's Early Notification System to all direct subscribers and the 17 District Council Offices that represent every Saint Paul neighborhood requesting they inform their constituents of the availability of the report and public hearing date. The public hearing will be held at 2PM on August 25, 2016. Any comments received will be incorporated into the plan. The final 2015 Consolidated Annual Performance and Evaluation Report will be available at PED, 25 W 4th St, #1400, Saint Paul, MN 55102 and posted on line at www.stpaul.gov/

DRAFT

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

At this time the City of Saint Paul is making substantial progress towards achieving its strategic plan goals and is not making any adjustments to it's program objectives.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?	No
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[BEDI grantees] Describe accomplishments and program outcomes during the last year.

DRAFT

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

During the 2015 program year the rents and incomes from HOME assisted rental projects that are still in their affordability period were collected and reviewed, and all HOME rental projects which require inspections were inspected. Please see the attached Summary Report provided by the Affordable Housing Connections, Inc.

The results from these inspections verified compliance in all cases with the exception of one development. This development had a file deficiency but corrective measures were not verified within the reporting timeline. These corrections will be provided in next year's report.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Turnover is very low in HOME-assisted units and about 90% of the projects are maintaining waiting lists for their units. Majority of the projects also work with referrals from a wide variety of social service and government agencies, which are themselves promoters of diversity in their outreach.

For projects with AFHMPs that are more than five years old, we have recommended that the project owners review marketing activities and make adjustments if necessary in order to attract a higher percentage of applicants who meet the demographic characteristics outlined in their plans. Where necessary, they should consider updating their plans.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

We used program income in conjunction with our regular HOME entitlement funding on two projects. The first is Hamline Station West, IDIS# 1821 in the amount of \$45,381.10. The second is 2700 University, IDIS# 1927 in the amount of \$10,910.83. Both of these projects are not yet complete but the owner/tenant characteristics will be entered into IDIS at completion.

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

The City utilizes two formal processes, Vendor Outreach and Affirmative Action, for HOME funded projects. These processes require affirmative action goals for the participation of minorities and women in both skilled and unskilled positions. Large scale rental developments are also required to enter into contracts with small businesses as certified under these programs. The programs maintain a directory of certified small businesses including minority and women owned enterprises. HOME funds are also subject to Section 3 requirements, which are coordinated by out HREEO department.

DRAFT

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	ST. PAUL
Organizational DUNS Number	961663390
EIN/TIN Number	416005521
Identify the Field Office	MINNEAPOLIS
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Saint Paul/Ramsey County CoC

ESG Contact Name

Prefix	Mr
First Name	JOSEPH
Middle Name	P
Last Name	COLLINS
Suffix	0
Title	Project Manager

ESG Contact Address

Street Address 1	25 West 4th Street
Street Address 2	Suite 1100
City	Saint Paul
State	MN
ZIP Code	55102-
Phone Number	6512666020
Extension	0
Fax Number	6512666559
Email Address	joe.collins@ci.stpaul.mn.us

ESG Secondary Contact

Prefix	Mr
First Name	RONALD
Last Name	ROSS
Suffix	0
Title	Grants Manager
Phone Number	6512666692
Extension	0
Email Address	RON.ROSS@CI.STPAUL.MN.US

2. Reporting Period—All Recipients Complete

Program Year Start Date 06/01/2015
Program Year End Date 05/31/2016

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: ST. PAUL

City: ST. PAUL

State: MN

Zip Code: 55102,

DUNS Number: 961663390

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 23526

Subrecipient or Contractor Name: Catholic Charities of the Archdiocese of St. Paul and Minneapolis

City: Minneapolis

State: MN

Zip Code: 55403, 2513

DUNS Number: 108504168

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 180582

Subrecipient or Contractor Name: AMHERST H. WILDER FOUNDATION

City: Saint Paul

State: MN

Zip Code: 55104, 4636

DUNS Number: 077624401

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 12000

Subrecipient or Contractor Name: YWCA St. Paul

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 013339668

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 19100

Subrecipient or Contractor Name: Face to Face Health & Counseling

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 030014575

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 25600

Subrecipient or Contractor Name: MN Assistance Council for Veterans

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 137825696

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 24600

Subrecipient or Contractor Name: Neighborhood House-ES Family Ctr

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 091721704

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 10600

Subrecipient or Contractor Name: SMRLS Project Hope

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 156013880

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 25600

Subrecipient or Contractor Name: West Side Comm. Health - HouseCalls

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 135938926

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 21600

Subrecipient or Contractor Name: Ain Dah Yung

City: St. paul

State: MN

Zip Code: ,

DUNS Number: 193164886

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 8400

Subrecipient or Contractor Name: Emma Norton

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 193145513

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 14600

Subrecipient or Contractor Name: St. Paul Area Council of Churches

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 138618165

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 50600

Subrecipient or Contractor Name: Theresa Living Center

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 966111205

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 29600

Subrecipient or Contractor Name: The Family Place

City: St. Paul

State: MN

Zip Code: ,

DUNS Number: 128241606

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 25600

Subrecipient or Contractor Name: Hmong American Partnership

City: Saint Paul

State: MN

Zip Code: 55103, 1933

DUNS Number: 614800092

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 21600

Subrecipient or Contractor Name: Breaking Free

City: Saint Paul

State: MN

Zip Code: 55104, 4805

DUNS Number: 410962296

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 6400

Subrecipient or Contractor Name: lutheran social services

City: Saint Paul

State: MN

Zip Code: 55104, 6104

DUNS Number: 079728721

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 25600

Subrecipient or Contractor Name: salvation army

City: Saint Paul

State: MN

Zip Code: 55108, 2542

DUNS Number: 140698597

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Faith-Based Organization

ESG Subgrant or Contract Award Amount: 15600

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 14 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 15 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 16 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 17 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	
Children	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 18 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	
Female	
Transgender	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 19 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	
18-24	
25 and over	
Don't Know/Refused/Other	
Missing Information	
Total	

Table 20 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households				
Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans				
Victims of Domestic Violence				
Elderly				
HIV/AIDS				
Chronically Homeless				
Persons with Disabilities:				
Severely Mentally Ill				
Chronic Substance Abuse				
Other Disability				
Total (unduplicated if possible)				

Table 21 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	140,448
Total Number of bed-nights provided	140,448
Capacity Utilization	100.00%

Table 22 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

The City of Saint Paul administers the federal Emergency Solutions Grant Program (“ESG”) in program partnership with Ramsey County COC. During HUD FY 15, the City’s ESG assisted 18 community agencies with funds for emergency shelter operations, street outreach, homelessness prevention, rapid rehousing, and data collection. In general, the City’s ESG supports homelessness initiatives funded by the State/County Family Homelessness Prevention Assistance Program (FHPAP) and Ramsey County COC funds (HUD funds).

Most important, ESG-funded community agencies provide “lifelines” to homeless residents or at-risk of being homeless residents. For single adults, Dorothy Day Center opens its doors each night to provide emergency shelter (272 beds) while providing supportive services, such as mental health counseling, during the day. Across town, Catholic Charities’ Family Service Center provides emergency shelter (65 beds) for families for up to 60 days – allowing time for families to seek more permanent housing. Additionally, Interfaith Action of Greater Saint Paul (formerly known as Saint Paul Area Council of Churches) provides 40 emergency shelter beds for families, and Salvation Army Booth Brown provides 16 shelter beds for homeless youth. These housing services are also complemented by the community-based services for homeless residents, such as Listening House (drop-in for homeless adults); and The Family Place (a day drop-in center for homeless families).

As transitional supportive housing, Emma Norton assist homeless single women (many who have mental health challenges); the YWCA-St. Paul provides transitional housing with supportive services for single-parent families; and Theresa Living Center transitional housing assists single women and single women with one child.

For homelessness prevention, West Side Community Health Services’ *HouseCalls*, East Side Family Center, and Southern Minnesota Regional Legal Assistance- *Project Hope* – all provide emergency financial assistance, including emergency utility assistance especially as a response to the State’s Cold-Weather Rule. Southern Minnesota Regional Legal Services also provides legal assistance to tenants-at-risk of losing their housing.

ESG also assists homeless youth with services, such as culturally-response emergency shelter offered by Ain Dah Yung, day drop-in center/supportive services offered by *SafeZone*, and emergency shelter services offered by Lutheran Social Services' *SafeHouse*. During the HUD program year, *SafeZone* also provided rapid rehousing services for homeless youth.

Most of all, ESG-funded community agencies recognize the hard fact that homeless residents often have multiple barriers to securing permanent housing. Although some homeless residents lack sufficient funds for stable housing, many homeless residents have disabilities that limit their ability to earn sufficient income required for stable housing. That said, in addition to providing housing services, ESG-funded agencies provide supportive services so that homeless citizens can move toward self-sufficiency.

Finally, in addition to ESG-funded activities, the City of Saint Paul owns St. Paul Residence (120 units of supportive housing of which 60 units using Housing First model to assist chronically-inebriates and 60 units for formerly homeless/at-risk of being homeless residents). During HUD FY15, Catholic Charities also worked with the State of Minnesota and the City of Saint Paul to build a new "Higher Ground" facility to include emergency shelter, pay-for stay, medical respite, and permanent supportive housing (estimated occupancy - Fall 2016).

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	51,620	58,000	0
Expenditures for Housing Relocation & Stabilization Services - Services	21,226	25,000	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
Subtotal Homelessness Prevention	72,846	83,000	0

Table 23 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Expenditures for Rental Assistance	6,579	40,000	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	67,128	70,000	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
Subtotal Rapid Re-Housing	73,707	110,000	0

Table 24 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
Essential Services	0	0	0
Operations	286,381	282,482	0
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
Subtotal	286,381	282,482	0

Table 25 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2013	2014	2015
HMIS	12,000	22,000	0
Administration	14,999	26,426	0
Street Outreach	0	18,000	0

Table 26 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2013	2014	2015
983,841	459,933	523,908	0

Table 27 - Total ESG Funds Expended

11f. Match Source

	2013	2014	2015
Other Non-ESG HUD Funds	106,271	0	0
Other Federal Funds	27,324	23,500	25,000
State Government	1,547,015	1,652,214	753,711
Local Government	10,000	70,000	495,811
Private Funds	394,937	411,933	2,082,726
Other	0	0	0
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	2,085,547	2,157,647	3,357,248

Table 28 - Other Funds Expended on Eligible ESG Activities

11g. Total

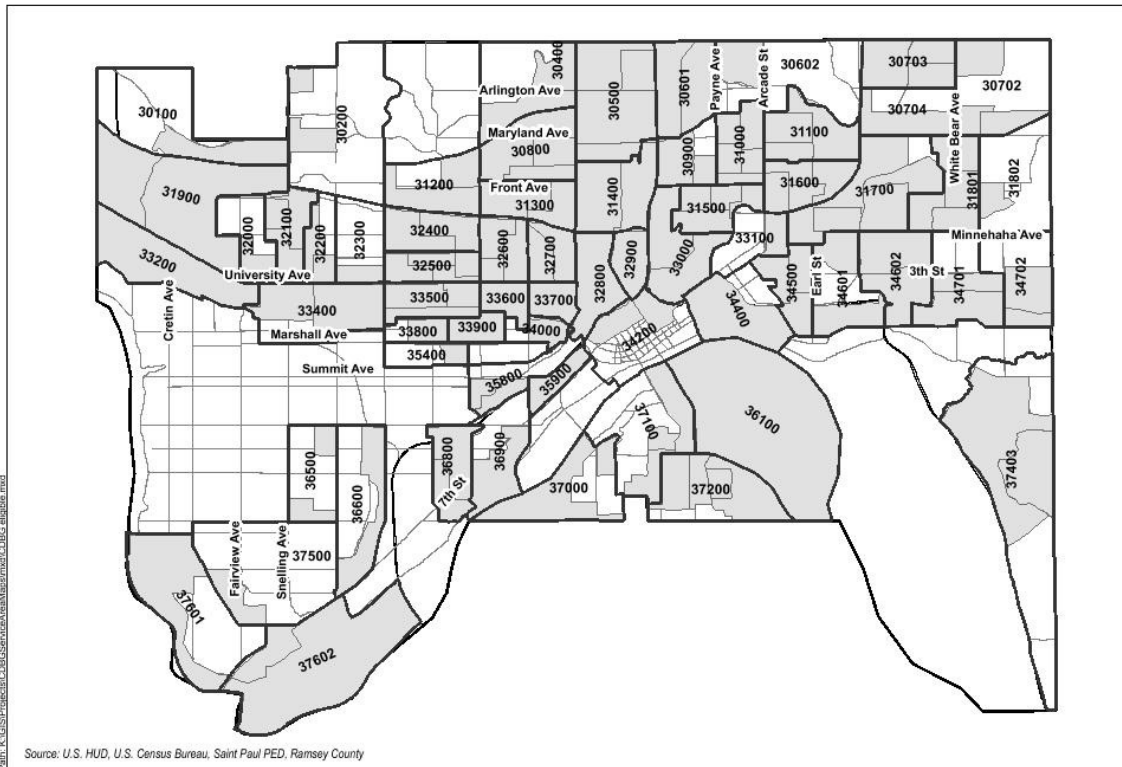
Total Amount of Funds Expended on ESG Activities	2013	2014	2015
8,584,283	2,545,480	2,681,555	3,357,248

Table 29 - Total Amount of Funds Expended on ESG Activities

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Attachment

CDBG eligible census tracts



CDBG eligible block groups and tracts

Date: 2/12/2015

Attachment 2

DRAFT

[illegible]

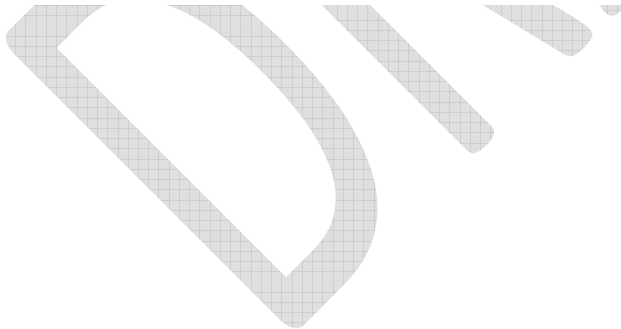
HOME Projects Inspections



Affordable Housing Connections, Inc.
Summary Report to City of St. Paul on HOME Projects Inspections
Report Period: June 1, 2015 through May 31, 2016

JACS No.	Project Name	Project Total Units	HOME-assisted Units Total	Inspection Frequency (as required by HUD/CLU)	Most Recent Inspection		No. of Units Inspected	Most Recent Physical Inspection Results			Most Recent Report & Remedial Action Results			Date Last Remedial Action Approved	Notes
					2015	2016		Physical Inspection Results			Remedial Action Results				
								Basic	Codebook	Other	Minor	Major	Open		
333	Affordable Care Act Apartments	28	4	annual	1/27/15	4/27/15	12						X		
431	West Park Homes	75	9	annual	6/19/15	Scheduled for 2/16 after May 31	4						X		10/11/15
1461	Frank Brown House	96	8	every 2 years	Next inspection in 2016	4/27/15	4						X		Corrective action for the quality control is to be in place when the work is completed. Scheduling for 2/16.
5397	Continental II	45	7	annual	4/27/15	4/28/15	4	X					X		
1142	Chaco Lake House	47	10	annual	6/16/15	Scheduled for 2/16 after May 31	4	X					X		2/25/17
48	Trinity of Hillcrest	32	3	annual	As per HUD/CLU annual correspondence, final year monitoring of project will be handled by...										
1113	Peace Parkway	50	15	annual	1/27/15	Scheduled for 2/16 after May 31	4						X		1/21/16
1106	Overlook Community Expansion	36	17	annual	5/28/15	5/29/15	4						X		7/13/16
1225	Delaney Apartments	27	13	annual	5/28/15	6/29/15	4						X		6/27/15
269	Starbuck Commons/Star Village	13	10	every 2 years	Next inspection in 2016	Scheduled for 2/16 after May 31	4								PCIA Form 4/11/15. Annual report and letter after review will be scheduled for 4/11/16.
1812	Glenn Lodge	42	17	annual	Ker in overland yet										
1160	Carlson Place	127	5	annual	3/18/15	Scheduled for 2/16 after May 31	4	X					X		11/12/15
1022	Hanford Salvo East	51	5	annual	No PCIA required and yet										
102	Hanford Salvo West	57	5	annual	No PCIA required and yet										
1119	Harvest Hall Project	16	2	every 2 years	Next inspection in 2016	Scheduled for 2/16 after May 31	2								10/2/17
571	Hahn Ridge Improvement	16	2	every 2 years	Next inspection in 2016	Scheduled for 2/16 after May 31	4								1/12/16
1014	Jackson Street Village	24	5	every 2 years	10/25/15	Scheduled for 2/16	3						X		Continuing communication with St. Paul Housing Authority regarding the delay in delivery of annual performance reports in order to establish compliance with HUD/CLU requirements.

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Affordable Housing Connections, Inc.
 Summary Report to City of St. Paul on HOME Projects Inspections
 Report Period: June 1, 2015 through May 31, 2016

HOME No.	Project Name	Project Total Units	HOME 1-eligible Units Total	Inspection Frequency (as required by HUD/HS)	Most Recent Inspection		Most Recent Physical Inspection Summary			Most Recent Report & Remedial Action Results			Date Last Data Entered Approved	Notes
					2015	2016	Phase of Home Inspected	Deficiencies Known	Deficiencies Corrected	Deficiencies Open	Deficiencies Closed	Deficiencies Open		
1501	Kennell Court	76	35	annual	9/15/15	8/15/16 Site 275 after May 31	4	0	0	0	0	0	3/11/16	
1524	Spauld Court	60	3	annual	8/20/15	Scheduled for 275 after May 31	3	0	0	0	0	0	9/29/15	
1585	East Plaza Park Apartments	72	4	annual	Not inspected yet	5/27/16	6	0	0	0	0	0		Begin construction and address local infrastructure for 275/16
1617	Elm City Public	30	4	annual	6/24/15	Scheduled for 2016 after May 31	6	0	0	0	0	0		
1288	Elm City Public	6	3	every 2 years	5/8/15	Next inspection in 2017	6	0	0	0	0	0		
1570	Elm City Public	82	5	annual	9/16/15	Scheduled for 2016 after May 31	4	0	0	0	0	0	10/8/15	
1703	Elm City Public	93	10	annual	5/18/15	Scheduled for 2016 after May 31	7	0	0	0	0	0	11/12/15	
1558	Elm City Public	41	5	annual	Not inspected yet									
1508	Elm City Public	70	4	annual	7/13/15	Scheduled for 2016 after May 31	4	0	0	0	0	0	1/27/16	
1616	Elm City Public	185	8	annual	1/28/16	5/12/16	1	0	0	0	0	0	1/27/16	
1602	Elm City Public	80	10	annual	5/13/15	Scheduled for 2016 after May 31	10	0	0	0	0	0	1/27/16	
1501	Elm City Public	45	5	annual	9/11/15	Scheduled for 2016 after May 31	8	0	0	0	0	0	5/19/16	
1615	Elm City Public	13	11	every 2 years	10/26/15	Next inspection in 2017	4	0	0	0	0	0		Under construction until 5/16 at P-1A P-2 procedure for 2016 under at present a portion of the 2016 P-1A P-2 procedure is complete and 2016 P-1A P-2 is in progress
1517	Elm City Public	114	15	annual	4/29/15	Scheduled for 2016 after May 31	5	0	0	0	0	0	11/12/15	
1554	Elm City Public	4	2	every 3 years	Next inspection in 2017	Next inspection in 2017	2	0	0	0	0	0	1/27/16	2016 P-1A P-2 procedure for 2016 under at present a portion of the 2016 P-1A P-2 procedure is complete and 2016 P-1A P-2 is in progress
1514	Elm City Public	75	5	every 3 years	Next inspection in 2017	Next inspection in 2017	6	0	0	0	0	0	6/12/15	
1616	Elm City Public	30	10	annual	9/15/15	Scheduled for 2016 after May 31	6	0	0	0	0	0	10/29/15	



Affordable Housing Connections, Inc.
Summary Report to City of St. Paul on HOME Projects Inspections
Report Period: June 1, 2015 through May 31, 2016

ID#	Project Name	Project Total Units	Housing Assistance Units Total	Inspection Frequency (as required by HUD)	Most Recent Inspection		Most Recent Physical Inspection Results			Most Recent Report & Finding File Review Results			Date Last Post Review Approved	Notes
					2015	2016	No. of Units Inspected	Deficiencies			Deficiencies			
								Major	Minor	Open	Major	Minor	Open	
1014	Western Hill Plaza	60	2	annual	Not applicable								SCA stated 5/5/16. Final inspection and review on 7/1/16.	
1015	Graceland Hills	125	12	annual	5/15/15	Scheduled for 2/16/16 - 2/27/16	3	X			X		1/17/15	
1016	Collins Towers	23	3	every 2 years	5/28/15	Next inspection in 2017	3	X			X		11/6/14	
1016	Whitlock Apartments	56	6	annual	5/21/15	Scheduled for 2/16/16 - 2/27/16	4	X			X		5/16/15	
1019	YWC Center	8	2	every 2 years	6/2/15	Next inspection in 2017	2	X			X		7/24/15	
1019	YWC Chalmers	12	11	every 2 years	6/2/15	Next inspection in 2017	4	X			X		7/24/15	
1019	YWC Appleton	18	2	every 2 years	6/2/15	Next inspection in 2017	3	X			X		7/24/15	

OK